

Syed K Saleem

Compliance Officer

Financial Crime Compliance & Audit Expert
New Jersey - USA

PROFESSIONAL BACKGROUND

Syed is a senior Finance/Banking professional with above 20 years of experience in Risk, Regulatory Compliance, Audit, Control Testing/QA and Financial Crime Compliance. Successfully developed and managed Regulatory Compliance Programs of large Global Banks of North America and Middle Eastern Region. He has diversified experience in compliance/audit domain and worked in both banking and management consulting.

Seasoned professional with consistent success in delivering compliance testing and regulatory projects through strategic planning, subject matter expertise, and focus on execution. Builds and empowers high-performance teams and creates strong collaboration with global stakeholders. Excitedly takes on new challenges and drives continuous process improvement to organizations.

- **Professional Accountant**
- **MBA – Accounting & Finance**
- **Certified Antimoney Laundering Professional (ACAMS)**

PROFESSIONAL EXPERIENCE

Industry Expertise

- Regulatory Compliance
- AML/KYC/Sanctions
- Fraud Risk Management
- Audit /QA /Control Testing
- SME FINTRAC/FinCEN
- Crypto/Block Chain SME

Functional Expertise

- Project Management
- Stakeholders Management
- Complex Delivery
- Process Improvement
- Business Process Outsourcing

SELECTED PROJECT EXPERIENCE

Freelance Experience:

- **Compliance Officer Services** - Crypto, MSB's /FinTech, Shopify Stores/ Online Seller and Gaming Apps.
- **AML/KYC and Sanctions Policies & Procedures Development**
- **Independent BSA/ AML Audit of following :**
 - ✓ Payroll Processing Firm having operations across US
 - ✓ US Based - Non- Profit Organization
 - ✓ Money Service Businesses – FINTRAC & FinCEN Licensed
 - ✓ Money Transmitter Business – US Based

Professional Experience:

- **KYC Operations Lead Large Global Bank**
- **Global Sanctions Lead Large European Bank**
- **MLRO Large Middle East Based Bank – Dubai & Saudi**
- **Big 4 Consulting Global Experience**– Lead Internal Audit projects of large banks of Middle East, EU & APAC Regions